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Approved for release through 10/31/2002. OMB 0651-0031

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Complete if Known

| | |
|------------------------|-------------------------|
| Application Number | Unassigned 107511345 |
| Filing Date | Concurrently Herewith |
| First Named Inventor | Kazuaki HIRASAWA et al. |
| Group Art Unit | Unassigned |
| Examiner Name | Unassigned |
| Attorney Docket Number | 38331-0005 |

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| Sheet | 1 | of | 2 |
|-------|---|----|---|

U.S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

| Examiner Initials* | Cite No. | Foreign Patent Document | | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T ^o |
|--------------------|----------|---|-------------|--------------------------------|---|---|----------------|
| | | Country Code ³ - Number ⁴ - Kind Code ⁵ (if known) | | | | | |
| IM | A01 | JP | 9-308481 A | 12/2/1997 | Nippon Oil Co., Ltd. | | |
| ↓ | A02 | EP | 1138208 A1 | 10/4/2001 | Nippon Mitsubishi Oil Corp. | | |
| | A03 | EP | 1229126 A1 | 8/7/2002 | Nippon Mitsubishi Oil Corp. * | | |
| | A04 | WO | 99/06586 A1 | 2/11/1999 | Yissum Res. Dev. Co. Hebrew Univ. Jerusalem | | |
| | A05 | EP | 08725554 A2 | 10/21/1998 | F, Hoffman-La Roche AG | | |
| | A06 | EP | 0 769551 A1 | 4/23/1997 | Kirin Beer Kabushiki Kaisha | | |
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**Examiner
Signature**

/Irene Marx/


Date Considered

12/28/2006

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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DT04 Filed PCT/PTO 1 5 OCT 2004

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| INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) | | Application Number | Unassigned |
| | | Filing Date | Concurrently Herewith |
| | | First Named Inventor | Kazuaki HIRASAWA et al. |
| | | Group Art Unit | Unassigned |
| | | Examiner Name | Unassigned |
| Sheet 2 of 2 | Attorney Docket Number | 38331-0005 | |

| OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS | | | |
|--|-----------------------|---|----------------|
| Examiner Initials * | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
| IM | A07 | TSUBOKURA, A., et al., "Paracoccus carotinifaciens sp. nov., a new aerobic Gram-negative astaxanthin-producing bacterium", 1999, Int. J. Syst. Bacteriol., pp. 277-282, Vol. 49 | |
| IM | A08 | TRINIDAD D.M. et al., "Analysis of canthaxanthin and related pigments from <i>Gordonia jacobaea</i> mutants", 2001, J. Agric. Food Chem., pp. 1200-1202, Vol. 49 | |
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| Examiner Signature | /Irene Marx/ | Date Considered | 12/28/2006 |
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